

***Ab initio* study of the structure of, and double proton exchange in,
1,4-dihydroxy-2,3-diformylbuta-1,3-diene**

Tatyana N. Griбанова, Ruslan M. Minyaev* and Vladimir I. Minkin

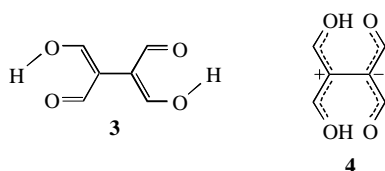
*Institute of Physical and Organic Chemistry, Rostov State University, 344090 Rostov-on-Don, Russian Federation.
Fax: +7 8632 28 5667; e-mail: minyaev@ipoc.rnd.runnet.ru*

Concerted low-energy barrier (3.7 kcal mol⁻¹) double proton exchange in 1,4-dihydroxy-2,3-diformylbuta-1,3-diene has been predicted using *ab initio* [MP2(fc)/6-31G**] calculations.

Particular attention has been given to the study of the kinetics and mechanism of the intramolecular two proton migration in oxalic acid,¹ oxalamidine,² azophenine,^{3,4} 2,2'-bipyridyl, 3,3'-diole^{5,6} and other similar compounds.^{7,8} Both theoretical and experimental investigations¹⁻⁸ showed that all the dyotropic rearrangements studied follow a two-step mechanism involving sequential proton transfer with inclusion of a zwitterionic intermediate. No unambiguous experimental or theoretical evidence for the realization of the concerted (one-step) double proton transfer within a molecule have hitherto been presented. In the present work we report on *ab initio* [MP2(fc)/6-31G**]⁹ calculations of a concerted low-energy barrier (3.7 kcal mol⁻¹) degenerate rearrangement of 1,4-dihydroxy-2,3-diformylbuta-1,3-diene **1** due to intramolecular double proton transfer.

According to the MP2(fc)/6-31G** calculations, a planar structure **1** ($\lambda = 0$; hereafter λ designates the number of negative eigenvalues at a given stationary point) with C_{2h} -symmetry corresponds to the most stable form of the 1,4-dihydroxy-2,3-diformylbuta-1,3-diene. A possible *cis*-(*Z*)-conformer **3** is 2.6 kcal mol⁻¹ less favourable than **1**. Unlike **1**, the isomer **3** is acoplanar (C_2 -symmetry) with the dihedral C=C-C=C angle equal to 61.6°.

Calculated molecular structures, geometry and energy parameters of the structures **1–3** are given in Figure 1 and Table 1.



The symmetric structure **2** of D_{2h} -symmetry corresponds to a true saddle point ($\lambda = 1$) on the potential energy surface (PES) of $C_6H_6O_4$. A possible zwitterionic intermediate **4** that would result from single-proton transfer does not correspond to a stationary point. Optimizations starting from the zwitterionic configuration **4** with C_{2v} and C_1 symmetries lead to structures **2** and **1**, respectively. Thus, there exists only the concerted proton exchange pathway $\mathbf{1a} \rightleftharpoons \mathbf{2} \rightleftharpoons \mathbf{1b}$ in 1,4-dihydroxy-2,3-diformylbuta-1,3-diene which implies occurrence of the multicentred transition state structure **2** with a very low energy of 3.7 kcal mol⁻¹ relative to **1**. The three-centre hydrogen bridges in **2** are nearly linear (deviation from linearity is *ca.* 12°). The H...O=C angle of 112.6° lies within the limits of the optimal values for proton transfer along the hydrogen bond.¹⁰ Accounting for zero-point energy corrections in **1** and **2** leads to the conclusion that the bicyclic structure **2** with hydrogen atoms centered in the middle of the O...O bridge

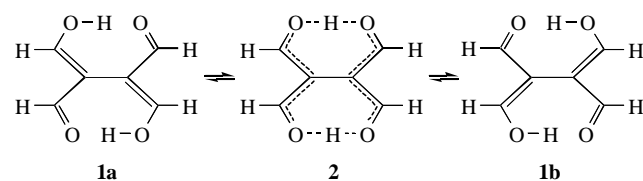


Table 1 Total energies (E_{tot} in hartree), relative energies (ΔE in kcal mol⁻¹), the number of negative hessian eigenvalues (λ), harmonic zero-point correction (ZPE in hartree), relative energy including harmonic zero-point correction (ΔE_{ZPE} in kcal mol⁻¹), reaction enthalpy (ΔH in kcal mol⁻¹) and the smallest or imaginary vibration frequency (ω_{low} in cm⁻¹) for the structures **1–3** calculated by the MP2(fc)/6-31G** method.

Structure	E_{tot}	ΔE	λ	ZPE	ΔE_{ZPE}	ΔH	$(\omega_1/i\omega)$
1, C_{2h}	-531.63149	0	0	0.11877	0	0	22.7
2, D_{2h}	-531.62561	3.68	1	0.11193	-0.60	-0.91	11189.5
3, C_∞	-531.62732	2.62	0	0.11813	2.21	2.47	46.1

possesses lower total energy as compared with **1**. A similar phenomenon of the vibrational stabilisation of the structure with symmetrical hydrogen bridges has been discussed¹¹ recently with reference to experimental data for the IH system.¹²

Thus, our calculations corroborate the assumption about the crucial influence of the stereochemical conditions on the proton transfer mechanism. Structure 1,4-dihydroxy-2,3-diformylbuta-1,3-diene appears to be the first example of a dyotropic molecule in which one-step low-barrier double proton exchange confirmed at the MP2-level is possible.

This work was supported by the Russian Foundation for Basic Research (grant nos. 98-03-33169a and 96-15-97476).

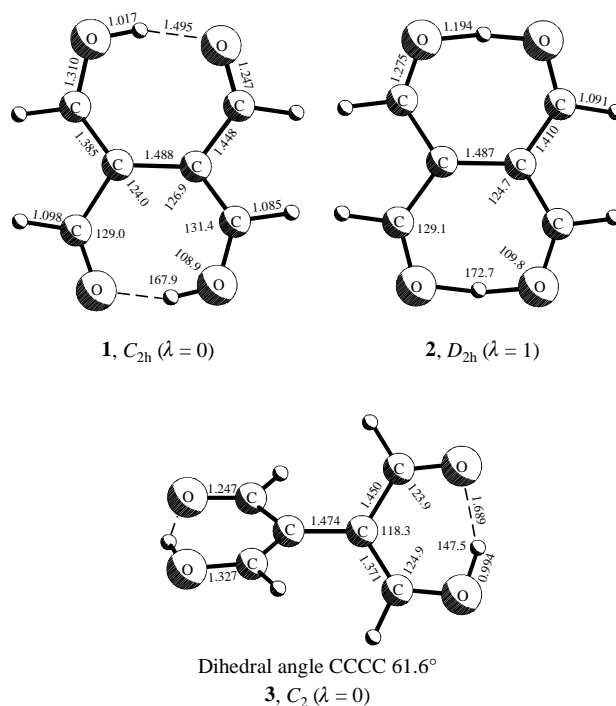


Figure 1 Geometry parameters of structures **1–3** calculated by the MP2(fc)/6-31G** method. Bond lengths and angles are given in angstroms and degrees, respectively.

References

- 1 C. W. Bock, *J. Chem. Phys.*, 1986, **85**, 5391.
- 2 G. Scherer and H.-H. Limbach, *J. Am. Chem. Soc.*, 1994, **116**, 1230.
- 3 M. K. Holloway, C. H. Reynolds and M. K. Merz, *J. Am. Chem. Soc.*, 1989, **111**, 3466.
- 4 H. Rumpel and H.-H. Limbach, *J. Am. Chem. Soc.*, 1989, **111**, 5429.
- 5 V. Barone and C. Adamo, *Chem. Phys. Lett.*, 1995, **241**, 1.
- 6 A. L. Sobolewski and L. Adamowicz, *Chem. Phys. Lett.*, 1996, **252**, 33.
- 7 V. I. Minkin, B. Ya. Simkin and R. M. Minyaev, *Quantum Chemistry of Organic Compounds. Mechanisms of Reactions*, Springer, Heidelberg, 1990, p. 270.
- 8 V. I. Minkin, L. P. Olekhnovich and Yu. A. Zhdanov, *Molecular Design of Tautomeric Compounds*, D. Reidel, Dordrecht–Boston–Tokyo, 1988, p. 271.
- 9 M. W. Schmidt, K. K. Baldridge, J. A. Boatz, S. T. Elbert, M. S. Gordon, J. H. Jensen, S. Koseki, N. Matsunaga, K. A. Nguyen, S. J. Su, T. L. Windus, M. Dupuis and J. A. Montgomery, *J. Comput. Chem.*, 1993, **14**, 1347 (package of *ab initio* programs, 'GAMESS', Version 1996).
- 10 S. Scheiner, *Acc. Chem. Res.*, 1994, **27**, 402.
- 11 J. Manz, R. Meyer, E. Pollak and J. Röhmelt, *Chem. Phys. Lett.*, 1982, **93**, 184.
- 12 J. Manz and J. Röhmelt, *Chem. Phys. Lett.*, 1981, **81**, 179.

Received: Moscow, 14th May 1998
Cambridge, 8th June 1998; Com. 8/03649G